

RWE Renewables UK Dogger Bank South (West) Limited RWE Renewables UK Dogger Bank South (East) Limited

Dogger Bank South Offshore Wind Farms

Consultation Report

Volume 5

Appendix A - Compliance Checklist

June 2024

Application Reference: 5.2

APFP Regulation: 5(2)(q)

Revision: 02





Company:	RWE Renewables UK Dogger Bank South (West) Limited and RWE Renewables UK Dogger Bank South (East) Limited	Asset:	Development
Project:	Dogger Bank South Offshore Wind Farms	Sub Project/Package:	Consents
Document Title or Description:	Consultation Report - Appendix A - Compliance Checklist		
Document Number:	005028765-02	Contractor Reference Number:	N/A

COPYRIGHT © RWE Renewables UK Dogger Bank South (West) Limited and RWE Renewables UK Dogger Bank South (East) Limited, 2024. All rights reserved.

This document is supplied on and subject to the terms and conditions of the Contractual Agreement relating to this work, under which this document has been supplied, in particular:

LIABILITY

In preparation of this document RWE Renewables UK Dogger Bank South (West) Limited and RWE Renewables UK Dogger Bank South (East) Limited has made reasonable efforts to ensure that the content is accurate, up to date and complete for the purpose for which it was contracted. RWE Renewables UK Dogger Bank South (West) Limited and RWE Renewables UK Dogger Bank South (East) Limited makes no warranty as to the accuracy or completeness of material supplied by the client or their agent.

Other than any liability on RWE Renewables UK Dogger Bank South (West) Limited and RWE Renewables UK Dogger Bank South (East) Limited detailed in the contracts between the parties for this work RWE Renewables UK Dogger Bank South (West) Limited and RWE Renewables UK Dogger Bank South (East) Limited shall have no liability for any loss, damage, injury, claim, expense, cost or other consequence arising as a result of use or reliance upon any information contained in or omitted from this document.

Any persons intending to use this document should satisfy themselves as to its applicability for their intended purpose.

The user of this document has the obligation to employ safe working practices for any activities referred to and to adopt specific practices appropriate to local conditions.

Rev No.	Date	Status/Reason for Issue	Author	Checked by	Approved by
01	February 2024	Draft for PINS Submission	RWE	RWE	RWE
02	June 2024	Final for DCO Application	RWE	RWE	RWE



Contents

Τ	Con	npilance Checklist	9
	1.1	Applicable legislation	9
2	Con	sultation Compliance Checklist	.10
	2.1	The Planning Act 2008	.10
	2.2	The Infrastructure Planning (Environmental Impact Assessment) Regulations 20)17
		The Infrastructure Planning (Applications: Prescribed Forms and Procedure) ations 2009	.25
3	Con	npliance with Guidance	.27
Т	ables		
T	able 2-	-1 The Planning Act 2008 Consultation Compliance Checklist	.10
		-2 The Infrastructure Planning (Environmental Impact Assessment) Regulations onsultation Compliance Checklist	.20
		-3 The Infrastructure Planning (Applications: Prescribed Forms and Procedure) ions 2009 Consultation Compliance Checklist	.25
Т	able 3-	-1 Compliance with Planning Act 2008 and PINS Advice Note 12 Guidance	.27



Glossary

Term	Definition
Development Consent Order (DCO)	An order made under the Planning Act 2008 granting development consent for one or more Nationally Significant Infrastructure Project (NSIP).
Dogger Bank South (DBS) Offshore Wind Farms	The collective name for the two Projects, DBS East and DBS West.
Environmental Impact Assessment (EIA)	A statutory process by which certain planned projects must be assessed before a formal decision to proceed can be made. It involves the collection and consideration of environmental information, which fulfils the assessment requirements of the EIA Directive and EIA Regulations, including the publication of an Environmental Statement (ES).
Development Consent Order (DCO)	An order made under the Planning Act 2008 granting development consent for one or more Nationally Significant Infrastructure Project (NSIP).
Evidence Plan Process (EPP)	A voluntary consultation process with specialist stakeholders to agree the approach, and information to support, the Environmental Impact Assessment (EIA) and Habitats Regulations Assessment (HRA) for certain topics.
Introductory consultation	Non-statutory public and stakeholder consultation carried out in 2022 to introduce the projects; explain the site selection process and options for the substation zones and onshore cable corridor and collect feedback on the proposals.
Local Authority	The Local Authority is a body empowered by law to exercise various statutory functions for a particular area of the United Kingdom. This includes County Councils, District Councils and the Broads Authority, as set out in section 43 of the Planning Act 2008. East Riding of Yorkshire Council (ERYC) is the Local Authority for the entirety of the Onshore Development Area.

Unrestricted 005028765



Term	Definition
Non-statutory consultee	An organisation or individual that the Applicants may choose to engage (if there are planning policy reasons to do so) who are not designated in law but may be likely to have an interest in a proposed development.
Onshore Export Cable Corridor	This is the area which includes cable trenches, Haul Roads, spoil storage areas, and limits of deviation for micro-siting. For assessment purposes, the cable corridor does not include the Onshore Converter Stations, Transition Joint Bays or temporary access routes; but includes Temporary Construction Compounds (purely for the cable route).
Onshore Export Cables	Onshore Export Cables take the electric from the Transition Joint Bay to the Onshore Converter Stations.
Onshore Substation Zone	Parcel of land within the Onshore Development Area where the Onshore Converter Station infrastructure (including the Haul Roads, Temporary Construction Compounds and associated cable routeing) would be located.
Planning Inspectorate (PINS)	The agency responsible for operating the planning process for Nationally Significant Infrastructure Projects (NSIPs).
Preliminary Environmental Information Report (PEIR)	Defined in the EIA regulations as information referred to in part 1, Schedule 4 (information for inclusion in environmental statements) which has been compiled by the applicant and is reasonably required to assess the environmental effects of the development.
Scoping opinion	The report adopted by the Planning Inspectorate on behalf of the Secretary of State.
Scoping report	The report that was produced in order to request a Scoping opinion from the Secretary of State.



Term	Definition
Section 42 consultee	Organisations and individuals that are required to be consulted by the Applicants under section 42 of the Planning Act 2008. Non-prescribed section 42 consultees may be included by Applicants if identified as being of significance.
Section 47 consultee	An organisation or individual that the Applicants may choose to engage (if there are planning policy reasons to do so) who are not designated in law but may be likely to have an interest in a proposed development.
Section 47 consultee	A consultee identified in the Projects' Statement of Community Consultation (SoCC), as being individuals living in the vicinity of the land. The Applicants have a duty under section 47 of the Planning Act 2008 to carry out the consultation in accordance with the SoCC.
Statutory consultation	The Statutory Consultation ran in two periods. The first period ran between 6th June and 15th July 2023, with a Supplementary Statutory Consultation period between 4th August – 15th September (see below).
Statutory consultee	Organisations and individuals that are required to be consulted by the Applicants under section 42 of the Planning Act 2008. Not all consultees will be statutory consultees (see non-statutory consultee definition).
Supplementary Statutory Consultation	Supplementary Statutory Consultation period to allow for consultees to respond that had not been previously notified of consultation. 4 th August – 15 th September 2023.
Targeted consultation	Period of consultation carried out after the Statutory Consultation which focused on those directly impacted by changes adopted as a result of the Statutory Consultation. 13 th November – 10 th December 2023.

Unrestricted 005028765



Term	Definition
The Applicants	The Applicants for the Projects are RWE Renewables UK Dogger Bank South (East) Limited and RWE Renewables UK Dogger Bank South (West) Limited. The Applicants are themselves jointly owned by the RWE Group of companies (51% stake) and Masdar (49% stake).
The Projects	DBS East and DBS West (collectively referred to as the Dogger Bank South Offshore Wind Farms).



Acronyms

Term	Definition
DBS	Dogger Bank South
DCLG	Department for Communities and Local Government
GDPR	General Data Protection Regulation
ММО	Marine Management Organisation
PEIR	Preliminary Environmental Information Report
PILs	Persons with an Interest in the Land
PINS	The Planning Inspectorate
SoCC	Statement of Community Consultation
SoS	Secretary of State



1 Compliance Checklist

1.1 Applicable legislation

- 1. Section 37(3)(c) of the Planning Act 2008 requires a consultation report to accompany an application for an order granting development consent.
- 2. Section 37(7) states that the consultation report should provide details of:
 - What has been done to comply with the duty to consult with prescribed consultees, Marine Management Organisation (MMO), local authorities and landowners/people with interest in land (Section 42), the local community (Section 47) and to publicise the application more widely (Section 48);
 - Any relevant responses; and
 - The account taken of any relevant responses.
- 3. The consultation report can also capture non-statutory or 'informal' consultation that takes place outside the requirements of the Planning Act 2008 so that the Planning Inspectorate (PINS) has a comprehensive picture of all the consultation activity relevant to a particular project.
- 4. Section 50 of the Planning Act 2008 provides that the applicant must have regard to any guidance issued by the Secretary of State (SoS). Department for Communities and Local Government (DCLG) guidance¹ on the preapplication process for major infrastructure projects has been issued which contains commentary on consultation reports. Where an applicant has not been able to follow this guidance, they should provide comments setting out why this is the case in the consultation report.
- 5. This checklist summarises the guidance contained within Advice Note 14: compiling the consultation report and the DCLG guidance referred to above. These documents highlight steps that applicants can take to assist PINS at the acceptance stage.

Unrestricted

Page 9

005028765

¹ On the 30th April 2024 the Department for Levelling Up, Housing and Communities, on behalf of the Secretary of State, launched The Infrastructure Planning (miscellaneous Provisions) Regulations 2024. Whilst the projects are not directly impacted by this regulation in regard to the DCO application, the Applicants' sought to understand the changes and to ensure that they were able to comply with the potential range of legislation changes.



2 Consultation Compliance Checklist

2.1 The Planning Act 2008

Table 2-1 The Planning Act 2008 Consultation Compliance Checklist

Section	Compliance
 42. Duty to consult (1) The applicant must consult the following about the proposed application: (a) such persons as may be prescribed, (aa) the Marine Management Organisation, in any case where the proposed development would affect, or would be likely to affect, any of the areas specified in subsection, (b) each local authority that is within section 43, 	Consultation under sections 42(1)(a), (aa), (b), and (d) was carried out during the Statutory Consultation, between 6 th June and 17 th July 2023. A Supplementary Statutory Consultation ran from 4 th August to 15 th September 2023 to consult 12 non-statutory section 42 consultees and 109 properties, missed from the Statutory Consultation notifications. Further detail regarding the identification and consultation of section 42 consultees in respect of the Statutory Consultation can be found in section 6.5.3 of Volume 5, Consultation Report (application ref: 5.1) .
(c) the Greater London Authority if the land is in Greater London, and (d) each person who is within one or more of the categories set out in section 44	Additional targeted consultation under section 42 was carried out between 13 th November 2023 and 10 th December 2023. A full breakdown of this consultation as it relates to section 42 can be found in section 7 of Volume 5 , Consultation Report (application ref: 5.1). Section 42 consultees contacted for the purposes of the consultations above are listed in Volume 5 , Appendix B3 (application ref: 5.3). Consultation under section 42(1)(c) was not required as the Projects do not affect land in Greater London.



Section	Compliance
43. Local authorities for purposes of section 42(1)(b) (1) A local authority is within this section if the land is in the authority's area. (2) A local authority ("A") is within this section if: (a) the land is in the area of another local authority ("B"), (aa) B is a unitary council or a lower-tier district council, and (b) any part of the boundary of A's area is also a part of the boundary of B's area. (2A) If the land is in the area of an upper-tier county council ("C"), a local authority ("D") is within this section if: (a) D is not a lower-tier district council, and (b) any part of the boundary of D's area is also part of the boundary of C's area.	The Statutory Consultation under Section 42 is detailed in section 6 of Volume 5, Consultation Report (application ref: 5.1). The local authorities identified and consulted at the Statutory Consultation are outlined in Volume 5, Appendix B3 (application ref: 5.3). The local authorities consulted were as follows: East Riding of Yorkshire Council - Local Planning Authority; Hull City Council - adjacent Local Authority with regard to traffic and transport, noise, air quality, landscape and visual and cumulative impacts; City of York Council - Neighbouring Local Authority; Doncaster City Council - Neighbouring Local Authority; North Lincolnshire Council - Neighbouring Local Authority; North Yorkshire Council - Neighbouring Local Authority; Ryedale District Council - Neighbouring Local Authority;
	Scarborough Borough Council - Neighbouring Local Authority.

Unrestricted 005028765



Section	Compliance
44. Categories for purposes of section 42(1)(d)	The process used to identify all landowners and people with
(1) A person is within Category 1 if the applicant, after making diligent inquiry, knows that the person is an owner, lessee, tenant (whatever the tenancy period) or occupier of the land.	interest in land is summarised in section 6.5.3.1 of Volume 5 , Consultation Report (application ref: 5.1) . The diligent inquiry undertaken includes land registry searches and written requests for information. Information about the process of
(2) A person is within Category 2 if the applicant, after making diligent inquiry, knows that the person:	ongoing engagement with persons with an interest in the land is set out in Volume 5, Consultation Report (application ref:
(a) is interested in the land, or	5.1).
(b) has power:	The Applicants' appointed land agents, Dalcour Maclaren, who
(i) to sell and convey the land, or	provided their benchmark DCO land referencing methodology for undertaking diligent enquiry of Persons with an Interest in
(ii) to release the land.	the Land (PILs). This is included in Volume 5 , Appendix C6
(3) An expression, other than "the land", that appears in subsection (2) of this section and also in section 5(1) of the Compulsory Purchase Act 1965 (c. 56) has in subsection (2) the meaning that it has in section 5(1) of that Act.	(application ref: 5.4) . Dalcour Maclaren has confirmed to the Applicants that this methodology was followed for the Projects.
(4) A person is within Category 3 if the applicant thinks that, if the order sought by the proposed application were to be made and fully implemented, the person would or might be entitled:	
(a) as a result of the implementing of the order,	
(b) as a result of the order having been implemented, or	



Section	Compliance
(c) as a result of use of the land once the order has been implemented, to make a relevant claim. This is subject to subsection (5).	
(5) A person is within Category 3 only if the person is known to the applicant after making diligent inquiry.	
(6) In subsection (4) "relevant claim" means:	
(a) a claim under section 10 of the Compulsory Purchase Act 1965 (c. 56) (compensation where satisfaction not made for the taking, or injurious affection, of land subject to compulsory purchase);	
(b) a claim under Part 1 of the Land Compensation Act 1973 (c. 26) (compensation for depreciation of land value by physical factors caused by use of public works);	
(c) a claim under section 152(3).	
45. Timetable for consultation under section 42	The consultation period for the Statutory Consultation, which
(1) The applicant must, when consulting a person under section 42, notify the person of the deadline for the receipt by the applicant of the person's response to the consultation.	included consultation with all groups identified under section 42, ran from 6 th June to 17 th July 2023 which is 6 weeks. The letters sent to section 42 consultees are available in Volume 5 , Appendix B4 (application ref: 5.3) which confirmed the
(2) A deadline notified under subsection (1) must not be earlier than the end of the period of 28 days that begins with the day	consultation period and deadline for responses and provided



Section	Compliance
after the day on which the person receives the consultation documents.	information about where the consultation materials discussed further in section 6.4 could be viewed.
(3) In subsection (2) "the consultation documents" means the documents supplied to the person by the applicant for the purpose of consulting the person.	The Supplementary Statutory Consultation which included 12 non-statutory consultees which were treated as section 42 consultees, ran for 6 weeks between 4th August and 15th September. The letter sent to those consultees can be found in Volume 5, Appendix D16 (application ref: 5.5) and includes information relating to the deadline for comments, providing links to the Projects' consultation website where the documents in section 6.4 could be viewed.
	The consultation period for the targeted consultation, which included consultation with targeted people with interest in land under section 42, ran from 13 th November to the 10 th December 2023, which is 28 days. The letter in Volume 5 , Appendix B6 (application ref: 5.5) sent to the targeted consultees confirms the deadline for responses and contact details for how further information can be requested.
46. Duty to notify Secretary of State of proposed application (1) The applicant must supply the Secretary of State with such information in relation to the proposed application as the applicant would supply to the Secretary of State for the purpose of complying with section 42 if the applicant were required by	Notification under section 46 was sent as part of the Statutory Consultation. The notification of the Secretary of State was carried out via the Planning Inspectorate via a letter and email on 5 th June 2023.

Unrestricted 005028765



Section	Compliance
that section to consult the Secretary of State about the proposed application.	The Planning Inspectorate confirmed receipt on 3 rd July 2023. More information about notification under section 46 can be
(2) The applicant must comply with subsection (1) on or before commencing consultation under section 42.	found in section 6.6 of Volume 5, Consultation Report (application ref: 5.1). Copies of the letters are included in Volume 5, Appendix B5 (application ref: 5.3) .
47. Duty to consult local community	For Statutory Consultation, a Statement of Community
(1) The applicant must prepare a statement setting out how the applicant proposes to consult, about the proposed application, people living in the vicinity of the land.	Consultation (SoCC) was drafted, consulted on with East Riding of Yorkshire Council as Local Planning Authority and Hull City Council in line with section 47(2), published on 5 th May 2023 and in line with section 47(1) and then delivered to the
(2) Before preparing the statement, the applicant must consult each local authority that is within section 43(1) about what is to be in the statement.	community access points and mobile libraries listed in Table 6-1 of Volume 5, Consultation Report (application ref: 5.1) .
(3) The deadline for the receipt by the applicant of a local authority's response to consultation under subsection (2) is the end of the period of 28 days that begins with the day after the day on which the local authority receives the consultation documents.	Local authorities were consulted between 16 th February 2023 and 15 th March 2023, for 28 days, in line with section 47(3). No comments were received from the two local authorities consulted. The draft SoCC can be found in Volume 5 , Appendix D1 (application ref: 5.5) and the final SoCC can be found in Volume 5 , Appendix D3 (application ref: 5.5) .
(4) In subsection (3) "the consultation documents" means the documents supplied to the local authority by the applicant for the purpose of consulting the local authority under subsection (2).	The SoCC was published online on the Project's website and placed in eight local community access points and mobile libraries detailed in full in Table 6-1 and section 6.7 of Volume 5, Consultation Report (application ref: 5.1) .



Section	Compliance
(5) In preparing the statement, the applicant must have regard to any response to consultation under subsection (2) that is received	Notices were placed in the following newspapers setting out where and when the SoCC could be inspected:
by the applicant before the deadline imposed by subsection (3).	Hull Daily Mail (29 th May 2023);
(6) Once the applicant has prepared the statement, the applicant [F1must—	Driffield and Wolds Weekly (30 th May 2023);
- (za) make the statement available for inspection by the public in	 Holderness and Hornsea Gazette (1st June 2023);
a way that is reasonably convenient for people living in the vicinity	Beverley Life (June 2023 edition),
of the land,	Just Beverley (June 2023 edition); and
- (a) publish, in a newspaper circulating in the vicinity of the land, a notice stating where and when the statement can be inspected,	Beverley Mag (June 2023 edition).
and	More information on the preparation and the publication of the
- (b) publish the statement in such manner as may be prescribed.	SoCC can be found in section 6.7. The draft SoCC has been included in Volume 5. Appendix D1 (application ref. 5.5). The
(7) The applicant must carry out consultation in accordance with the proposals set out in the statement.	included in Volume 5, Appendix D1 (application ref: 5.5). The published SoCC has been included in Volume 5, Appendix D3 (application ref: 5.5). Further information about compliance with the SoCC is provided in Table 6-4 of Volume 5, Consultation Report (application ref: 5.1).



Section	Compliance
 48. Duty to publicise (1) The applicant must publicise the proposed application in the prescribed manner. (2) Regulations made for the purposes of subsection (1) must, in particular, make provision for publicity under subsection (1) to 	Notices complying with section 48 were published between 22 nd May and 31 st May 2023. The prescribed manner for publication and how the notice was drafted is set out section 6.8 of Volume 5, Consultation Report (application ref:5.1) . The section 48 notice included a deadline of 17 th July 2023 for responses to the Statutory Consultation. Copies of the notices
include a deadline for receipt by the applicant of responses to the publicity.	can be found in Volume 5, Appendix E1 and E2 (application ref: 5.6) .
49. Duty to take account of responses to consultation and publicity	The consideration of relevant responses received to the statutory consultations under sections 42, 47 and 48 is set out
(1) Subsection (2) applies where the applicant:	in Volume 5, Appendix G1 and G2 (application ref: 5.8).
(a)has complied with sections 42, 47 and 48, and	
(b)proposes to go ahead with making an application for an order granting development consent (whether or not in the same terms as the proposed application).	
(2) The applicant must, when deciding whether the application that the applicant is actually to make should be in the same terms as the proposed application, have regard to any relevant responses.	
(3) In subsection (2) "relevant response" means:	

Unrestricted 005028765



Section	Compliance
(a) a response from a person consulted under section 42 that is received by the applicant before the deadline imposed by section 45 in that person's case,	
(b) a response to consultation under section 47(7) that is received by the applicant before any applicable deadline imposed in accordance with the statement prepared under section 47,	
(c) a response to publicity under section 48 that is received by the applicant before the deadline imposed in accordance with section 48(2) in relation to that publicity.	
50. Guidance about pre-application procedure	The following guidance has been followed in the compilation of
(1) Guidance may be issued about how to comply with the	the Volume 5, Consultation Report (application ref: 5.1) :
requirements of this chapter.	Planning Act 2008: Guidance on the Pre-Application
(2) Guidance under this section may be issued by the Secretary of State.	Process (Department for Communities and Local Government, March 2015); and
(3) The applicant must have regard to any guidance under this section.	Advice Note Fourteen: Compiling the Consultation Report (The Planning Inspectorate, February 2021), though this advice does not have formal status as guidance under section 50.



Section	Compliance
	How this guidance has been followed is set out fully in section 2.2.1 (Table 2-4) of the Volume 5, Consultation Report (application ref: 5.1).



2.2 The Infrastructure Planning (Environmental Impact Assessment) Regulations 2017

Table 2-2 The Infrastructure Planning (Environmental Impact Assessment) Regulations 2017 Consultation Compliance Checklist

Section of regulations	Compliance
 3. Interpretation "the consultation bodies" means— A body prescribed under s42(1)(a) of the PA2008 (duty to consult) and listed in column 1 of the table set out at Schedule 1 to the APFP Regulations where the circumstances set out in column 2 are satisfied in respect of that body (referred to as 'prescribed consultees' within this Advice Note'); Each authority that is within s43 of the PA2008 (local authorities for purposes of s42(1)(b)) (referred to as 's43 local authorities' within this Advice Note'); and 	The prescribed bodies under section 42(1)(a) of the PA 2008 as relate to the Projects are listed in Appendix B3 for the Statutory Consultation. The local planning authorities identified under section 43 of the Act are set out in Volume 5 , Appendix B3 (application ref: 5.3) . The Projects do not relate to land in Greater London.
If the land to which the application, or proposed application, relates or any part of that land is in Greater London, the Greater London Authority.	
8. Procedure for establishing whether environmental impact assessment is required (1) A person who proposes to make an application for an order granting development consent must, before carrying out consultation under section 42 M1 (duty to consult) either:	The Applicants provided Regulation 8 notification to the Secretary of State (via the Planning Inspectorate) via the request for a Scoping Opinion regarding the Projects.

Unrestricted 005028765



Section of regulations	Compliance
(a) ask the Secretary of State to adopt a screening opinion in respect of the development to which the application relates; or	This was fulfilled on 26 th July 2022 and a copy of the letter sent to the Planning Inspectorate can be found in
(b) notify the Secretary of State in writing that the person	Volume 5, Appendix B1 (application ref: 5.3).
proposes to provide an environmental statement in respect of that development.	
10. Application for a scoping opinion	The Applicants asked the Secretary of State (via the
(1) A person who proposes to make an application for an	Planning Inspectorate) to carry out a scoping opinion regarding the Projects and notified the Secretary of State
order granting development consent may ask the Secretary of State to state in writing their opinion as to the scope, and level of detail, of the information to be provided in the environmental statement.	(also via the Planning Inspectorate) of its intention to provide an Environmental Statement. Both of these requirements were complied with on 26 th July 2022 and
(3) A request under paragraph (1) must include:	a copy of the letter sent to the Planning Inspectorate can
(a) a plan sufficient to identify the land;	be found in Volume 5, Appendix B1 (application ref: 5.3) .
(b) a description of the proposed development, including its	
location and technical capacity;	
(c) an explanation of the likely significant effects of the	
development on the environment; and	
(d) such other information or representations as the person making the request may wish to provide or make.	

Unrestricted 005028765



Section of regulations	Compliance
(4) A request under paragraph (2) must include:	
(a) the reference number of the order granting development	
consent in respect of which the applicant proposes to make a subsequent application;	
(b) a description of the proposed development, including its	
location and technical capacity;	
(c) an explanation of the likely significant effects of the	
development on the environment which were not identified at the time the order granting development consent was made; and	
(d) such other information or representations as the person	
making the request may wish to provide or make.	
12. Consultation statement requirements	The SoCC set out that the Projects are EIA developments,
(1) The consultation statement prepared under section 47(1) (duty to consult local community) must set out:	and that preliminary environmental information was to be made available and consulted on as part of the Statutory Consultation. The SoCC includes how the
(a) whether the development for which the applicant proposes to make	consultation will be delivered.
an application for an order granting development consent is EIA development; and	That preliminary environmental information was then published as part of the Statutory Consultation in the
(b) if that development is EIA development, how the applicant intends to publicise and consult on the preliminary environmental information.	form of a Preliminary Environmental Information Report (PEIR).

Unrestricted 005028765



Section of regulations	Compliance
(2) In this regulation, "preliminary environmental information" means information referred to in regulation 14(2) which:	The published SoCC can be found in Volume 5 , Appendix D3 (application ref: 5.5) and references the intention to
(a) has been compiled by the applicant; and	consult on preliminary environmental information during
(b) is reasonably required for the consultation bodies to develop an informed view of the likely significant environmental effects of the development (and of any associated development).	the Statutory Consultation.
13. Pre-application publicity under section 48 (duty to publicise) Where the proposed application for an order granting development consent is an application for EIA development, the applicant must, at the same time as publishing notice of the proposed application under section 48(1), send a copy of that notice to the consultation bodies and to any person notified to the applicant in accordance with regulation 11(1)(c).	6etters (Volume 5, Appendix B4 (application ref: 5.3)) including a link to the website where consultation documents including the PEIR and section 48 notice could be accessed were sent to section 42 consultees providing information about the consultation, what was being consulted upon and how they could provide feedback.
±±(±)(0).	A list of these consultees can be found in Volume 5 , Appendix B3 (application ref: 5.3). A copy of the section 48 notice can be found in Volume 5 , Appendix E1 (application ref: 5.6).



Section of regulations	Compliance
	Whilst no copy of the section 48 was sent separately, the Applicants considers that the consultees were aware of the consultation, had access to all pertinent documents, and were able to participate effectively. Therefore, the non-compliance did not result in any disadvantage or prejudice to any party, and there is no practical benefit to re-sending the notice at this stage of the DCO process. For further details refer to section 6.8.1.1 of Volume 5 , Consultation Report (application ref: 5.1) .



2.3 The Infrastructure Planning (Applications: Prescribed Forms and Procedure) Regulations 2009

Table 2-3 The Infrastructure Planning (Applications: Prescribed Forms and Procedure) Regulations 2009 Consultation Compliance Checklist

Section of Regulations	Compliance
3. Prescribed consultees The persons prescribed for the purposes of section 42(a) (duty to consult) are those listed in column 1 of the table in Schedule 1 to these Regulations, who must be consulted in the circumstances specified in relation to each such person in column 2 of that table.	The 'prescribed consultees' for the purpose of s42(1)(a) are set out in Regulation 3 and Schedule 1 to these regulations. The consultees from Schedule 1, who were consulted in respect of the Projects pursuant to section 42(1)(a) for the Statutory Consultation, are set out in Volume 5 , Appendix B3 (application ref: 5.3).
 4. Publicising a proposed application (a) the name and address of the applicant; b) a statement that the applicant intends to make an application for development consent to the Commission; I a statement as to whether the application is EIA development; (d) a summary of the main proposals, specifying the location or route of the proposed development; 	Regulation 4 specifies what must be included in the notice, publicising an application under section 48, and where it must be published. The section 48 notices published as part of the Statutory Consultation contained the required information and were published as prescribed. More information about the section 48 notice can be found in section 6.8 of Volume 5, Consultation Report (application ref: 5.1).



Section of Regulations	Compliance
I a statement that the documents, plans and maps showing the nature and location of the proposed development are available for inspection free of charge at the places (including at least one address in the vicinity of the proposed development) and times set out in the notice;	
(f) the latest date on which those documents, plans and maps will be available for inspection (being a date not earlier than the deadline in sub-paragraph (i));	
(g) whether a charge will be made for copies of any of the documents, plans or maps and the amount of any charge;	
(h) details of how to respond to the publicity; and	
(i) a deadline for receipt of those responses by the applicant, being not less than 28 days following the date when the notice is last published.	



3 Compliance with Guidance

Table 3-1 Compliance with Planning Act 2008 and PINS Advice Note 12 Guidance

Paragraph	Adherence to Guidance
Planning Act 2008: Guidance on the Pre-Application Proce	ess (Department for Communities and Local Government)
 20. Experience suggests that, to be of most value, consultation should be: Based on accurate information that gives consultees a clear view of what is proposed including any options; Shared at an early enough stage so that the proposal can still be influenced, while being sufficiently developed to provide some detail on what is being proposed; and Engaging and accessible in style, encouraging consultees to react and offer their views. 	The Applicants published material for consultation in a range of formats, designed to make it as easy as possible for consultees to identify the potential benefits and impacts of the Projects, and the options that were being consulted on. For the Statutory Consultation, this included: • Preparing information at different levels of complexity: • The Consultation Booklet that set out the proposals and potential benefits and impacts in summary in plain English; • The Non-Technical Summary of the Preliminary Environmental Information Report (PEIR) that summarised the main findings of each chapter of the PEIR; • An interactive map available on the Projects' website, showing the proposals and allowing feedback on a specific locations was provided; and • PEIR itself that contained the full technical detail.

RWE

Dogger Bank South Offshore Wind Farms

Paragraph	Adherence to Guidance
	 The primary optionality presented during the Statutory Consultation was between the Substation Zone and landfall options. In addition to being set out clearly in the Consultation materials, there were dedicated questions on these items in the Questionnaire. In addition, the Applicants specifically encouraged feedback on the Onshore Export Cable Corridor and the offshore elements of the proposals. With regards to the need to balance the ability to influence proposals and sufficient information being available, the Non-statutory Introductory Consultation was held at a time where the designs were still formative but key issues and information were provided. Following this Consultation, some Onshore Export Cable Corridor options and Substation Zone options were dropped ahead of the Statutory Consultation.
	The Statutory Consultation was held when there was still optionality in the design (the two Onshore Substation Zones and two landfall options) but more information (including a preferred location within each Substation Zone and the preliminary environmental information) could be provided than at the Non-statutory Introductory Consultation.

Unrestricted 005028765



Paragraph	Adherence to Guidance
	The targeted consultation for S42(1)(d) stakeholders was undertaken due to changes in the Onshore Export Cable Corridor route.
21. Where an applicant has not been able to follow this guidance, they should provide comments setting out why this is the case, in the Consultation Report.	The consultation complied with this DCLG guidance.
27. The Planning Act and Regulations set out the statutory consultees and prescribed people who must be consulted during the pre-application process Applicants are therefore encouraged to consult widely on project proposals.	In order to ensure wide consultation, additional potentially interested stakeholders not defined within in section 42 or the prescribed list were sent the same level of information as section 42 consultees. For the Statutory Consultation, this list can be found in Volume 5 , Appendix B3 (application ref: 5.3) .
28. Whether or not an alternative is identified, the Consultation Report should briefly note any cases where compliance with statutory requirements was impossible and the reasons why.	The Applicants are not currently aware of any non-compliances, save for the issue explained and discussed in Section 6.8.1.1 of the Volume 5, Consultation Report (application ref: 5.1) .
41. Where a local authority raises an issue or concern on the SoCC which the applicant feels unable to address, the applicant is advised to explain in their Consultation Report their course of action to the Secretary of State when they submit their application.	No comments were received on the draft SoCC. Copies of confirmation of this are provided in Volume 5, Appendix D2 (application ref: 5.5).

Unrestricted 005028765



Paragraph	Adherence to Guidance
52. Applicants should explain in the Consultation Report how they have dealt with any new interests in land emerging after conclusion of their statutory consultation having regard to their duties to consult and take account of any responses.	Land interest identified after the Statutory Consultation were included in the targeted consultation 13 th November to 10 th December 2023. The Applicants contacted these interests to introduce the Projects, and provided details of how further information could be requested. A copy of the letter is provided in Volume 5, Appendix B6 (application ref: 5.3) .
68. To realise the benefits of consultation on a project, it must take place at a sufficiently early stage to allow consultees a real opportunity to influence the proposals. At the same time, consultees will need sufficient information on a project to be able to recognise and understand the	The Non-Statutory Introductory Consultation was held at a time where the designs were still formative but key issues and information were provided. The design at the point of Statutory Consultation which was the basis of PEIR still provided optionality in the design (the two Substation Zone search areas and two landfall options).
impacts.	Sufficient information was provided at each stage to enable consultees to recognise and understand the potential impacts of the proposals. In order to help consultees understand the potential benefits and impacts of the Projects, information was presented at multiple levels of technical complexity. More detail about how this was achieved is covered in the section in this table dealing with paragraph 20 of the guidance.

Unrestricted 005028765



Paragraph	Adherence to Guidance
69. Applicants will often also require detailed technical advice from consultees, and it is likely that their input will be of the greatest value if they are consulted when project proposals are fluid, followed up by confirmation of the approach as proposals become firmer. In principle, therefore, applicants should undertake initial consultation as soon as there is sufficient detail to allow consultees to understand the nature of the project properly.	In addition to the multi-stage consultation process set out in Volume 5, Consultation Report, (application ref: 5.1) the Applicants set up a series of ETGs prior to the EIA Scoping stage to engage technical experts throughout the development of the proposals.
70. To manage the tension between consulting early, but also having project proposals that are firm enough to enable consultees to comment, applicants are encouraged to consider an iterative, phased consultation consisting of two (or more) stages, especially for large projects with long development periods. For example, applicants might wish to consider undertaking non-statutory early consultation at a stage where options are still being considered. This will be helpful in informing proposals and assisting the applicant in establishing a preferred option on which to undertake statutory consultation.	The Applicants' approach to consultation followed the suggested iterative approach of a non-statutory consultation (Non-statutory Introductory Consultation) early in the project lifecycle followed by a more detailed statutory consultation where details were more fixed and more information was available.



Paragraph	Adherence to Guidance
71. Where an iterative consultation is intended, it may be advisable for applicants to carry out the final stage of consultation with persons who have an interest in the land once they have worked up their project proposals in sufficient detail to identify affected land interests.	The targeted consultation is demonstrative of following this approach, ensuring that all land interests identified in Volume 4 , Book of Reference (application ref: 4.2) had an opportunity to consider the potential benefits and impacts of proposals, and comment on them.
72. The timing and duration of consultation will be likely to vary from project to project, depending on size and complexity, and the range and scale of the impacts. The Planning Act requires a consultation period of a minimum of 28 days from the day after receipt of the consultation documents. It is expected that this may be sufficient for projects which are straightforward and uncontroversial in nature. But many projects, particularly larger or more controversial ones, may require longer consultation periods than this. Applicants should therefore set consultation deadlines that are realistic and proportionate to the proposed project. It is also important that consultees do not withhold information that might affect a project, and that they respond in good time to applicants. Where responses are not received by the deadline, the applicant is not obliged to take those responses into account.	The consultation period for Non-statutory Introductory Consultation ran from 9 th September to 14 th October 2022 which is 7 weeks. The consultation period for the Statutory Consultation, which included consultation with all groups identified under section 42, ran from 6 th June to 17 th July 2023 which is 6 weeks. A Supplementary Statutory Consultation ran from 4 th August to 15 th September 2023 to consult 12 non-statutory consultees and 109 properties, missed from the Statutory Consultation notifications. Further detail regarding the identification and consultation of section 42 consultees in respect of the Statutory Consultation and Supplementary Statutory Consultation can be found in section 6.5.3. A letter notifying section 42 stakeholders of the targeted consultation was dated 10 th November and outlined a consultation from the 13 th November to the 10 th December 2023. A copy of this letter is available in Volume 5, Appendix B6 (application ref: 5.3) .

Unrestricted 005028765



Paragraph	Adherence to Guidance
73. Applicants are not expected to repeat consultation rounds set out in their Statement of Community Consultation unless the project proposals have changed very substantially. However, where proposals change to such a large degree that what is being taken forward is fundamentally different from what was consulted on, further consultation may well be needed. This may be necessary if, for example, new information arises which renders all previous options unworkable or invalid for some reason. When considering the need for additional consultation, applicants should use the degree of change, the effect on the local community and the level of public interest as guiding factors.	The Projects proposals did not change after the Statutory Consultation in a way that introduced significant new adverse potential impacts. As such, no further Project-wide consultation was required. Instead, land interests potentially affected by specific changes were consulted as part of targeted consultation.
80. The Consultation Report should provide a general description of the consultation process undertaken, which can helpfully include a timeline.	This information can be found in section 1, Table 1-3 of Volume 5, Consultation Report, (application ref: 5.1) .
80. The Consultation Report should set out specifically what the applicant has done in compliance with the requirements of the Planning Act, relevant secondary legislation, this guidance, and any relevant policies, guidance or advice published by Government or the Inspectorate.	This is covered in Volume 5, Appendix A (application ref: 5.2) .



Paragraph	Adherence to Guidance
80. The Consultation Report should:	This information can be found in section 6.7 of Volume 5 ,
- set out how the applicant has taken account of any response to consultation with local authorities on what should be in the applicant's statement of community consultation.	Consultation Report, (application ref: 5.1).
- set out how the applicant has taken account of any response to consultation with local authorities on what should be in the applicant's statement of community consultation.	
- provide an explanation as to why responses advising on major changes to a project were not followed, including advice from statutory consultees on impacts.	
- outline where the applicant has not followed the advice of the local authority or not complied with this guidance or any relevant Advice Note published by the Inspectorate, provide an explanation for the action taken or not taken.	
80. The Consultation Report should be expressed in terms sufficient to enable the Secretary of State to understand fully how the consultation process has been undertaken and significant effects addressed. However, it need not include full technical explanations of these matters.	A summary of the compliance with all requirements has been set out in Volume 5 , Consultation Report (application ref: 5.1) . A summary of the changes made as a result of consultation has been set out in section 8 of this report. A more detailed breakdown of how issues from feedback have been considered and addressed (or why



Paragraph	Adherence to Guidance
	they were not) are contained within Volume 5, Appendices G1 and G2 (application ref: 5.8) .
81. It is good practice that those who have contributed to the consultation are informed of the results of the consultation exercise; how the information received by applicants has been used to shape and influence the project; and how any outstanding issues will be addressed before an application is submitted to the Inspectorate.	As part of the Statutory Consultation, the Non-statutory Introductory Consultation Report (see Volume 5, Appendix F8 (application ref: 5.7)) was shared with stakeholders to provide responses to previously raised feedback. In addition, after the Statutory Consultation, Project Updates and Newsletters have been communicated to our stakeholder database (please see section 3 of Volume 5, Consultation Report, (application ref: 5.1)) and via the Projects' website. These updates have included a summary of the key themes of feedback from the Statutory Consultation and responses to these themes.
81. Applicants should consider producing a summary note in plain English for the local community setting out headline findings and how they have been addressed, together with a link to the full Consultation Report for those interested.	A summary of the key issues raised in feedback was produced and sent to subscribers to the Project's newsletter. This update can be found in Volume 5 , Appendix D14 (application ref: 5.5)
Planning Inspectorate Advice Note 12: Compiling the Consultation Report	
Explanatory text should set the scene and provide an overview and narrative of the whole pre-application stage as it relates to the particular project.	The Introduction (section 1) of Volume 5, Appendix G2 (application ref: 5.8) sets the scene and section 1.5 provides a timeline of the consultation steps the project has taken.

Unrestricted 005028765



Paragraph	Adherence to Guidance
In many cases, national infrastructure projects have evolved over an extended period of time. In such cases, it may be useful to set out this wider historical context. A brief description of any historic consultation activity including any information available about the scale and nature of the response at that time would also be of interest.	An overview of engagement is provided throughout the project lifecycle in section 3 of Volume 5, Consultation Report (application ref: 5.1) . Information regarding the Non-statutory Introductory Consultation is provided in section 5 of the report.
The applicant should include a full list of the prescribed consultees as part of the consultation report. If the prescribed consultees have been consulted on multiple occasions, perhaps at different phases of the consultation, then this should be explained.	Volume 5, Appendix B3 (application ref:5.3) provides a full list of section 42 consultees consulted at each stage of Statutory Consultation.
If the applicant's list of prescribed consultees varies in any way from the list of organisations set out in Schedule 1 of Applications (Prescribed Forms and Procedures) Regulations 2009 then this should be robustly justified.	All consultees listed in column 1 of Schedule 1 were consulted where the circumstances specified in column 2 were met
	Though technically non-statutory consultees, multiple stakeholders such as local fisherman and the RSPB have been treated as section 42 consultees and were consulted in the same way as the organisations set out in Schedule 1, as they were identified as having a key interest in the proposed project.



Paragraph	Adherence to Guidance
A short description of how section 43 of the Act has been applied in order to identify the relevant local authorities should be included. This could be supported by a map showing the site and identifying the boundaries of the relevant local authorities	A description of how section 43 has been applied is contained in section 6.5.3.2 of Volume 5, Consultation Report, (application ref: 5.1) .
Those with an interest in the land consulted under section 44 of the 2008 Act should be identified as a distinct element of the wider section 42 consultation	A description of how section 44 has been applied is contained in section 6.5.4.3 of Volume 5, Consultation Report, (application ref: 5.1) .
Where compulsory acquisition forms part of the draft DCO the consultees who are also included in the book of reference for compulsory acquisition purposes should be highlighted in the consolidated list of prescribed consultees.	All section 44 consultees have been identified and are listed in Volume 4, Book of Reference (application ref: 4.2).
The SoCC process is usually best dealt with as a discrete section within the consultation report.	The SoCC is considered in a discrete section in section 6.7 of Volume 5, Consultation Report, (application ref: 5.1) .
Copies of the published SoCC as it appeared in the local press should be provided along with confirmation of which local newspapers it was published in and when.	The draft and Final SoCC are included in the Consultation Report (Volume 5, Appendices D1 and D3 (application ref 5.5) and the publicity of this can be found in Volume 5, appendices D4, D5 and D6 (application ref:5.5). The SoCC was not subject to an update following local authority consultation and feedback.

Unrestricted 005028765



Paragraph	Adherence to Guidance
Where more than one SoCC was prepared for a project, for example where a SoCC was subject to one or more updates, the updated SoCC(s) should be included together with a narrative about why the SoCC was reviewed and updated.	Not applicable.
Where there were any inconsistencies with the SoCC, for example where additional activities took place that were not included in the SoCC(s), then this should be clearly explained and justified.	A supplementary statutory consultation was carried out from 4 th August 2023 until 15 th September 2023 which was not described in the SoCC. This was to ensure that 109 properties missed from the original statutory consultation, and 12 non-statutory consultees identified following the start of the statutory consultation could provide comments where required. No other inconsistencies were identified. A SoCC Compliance table is provided in Table 6-4 of Volume 5 , Consultation Report, (application ref: 5.1) .
It would be useful to set out the relevant local authorities' views about any changes made to the consultation methodology that were not dealt with by way of a review of the SoCC.	Two responses were received, one from East Riding of Yorkshire Council stating they had no comments on the SoCC and one from Hull City Council stating they had no comments and were supportive of the consultation methodology stated. See Volume 5, Appendix D2.1 and D2.2 (application ref: 5.5).
Section 48 publicity should be dealt with as a separate section within the report.	Please see section 6.8.2 of Volume 5, Consultation Report, (application ref: 5.1). Evidence of section 48 publicity can be found in Volume 5, Appendix E (application ref: 5.6)

Unrestricted 005028765



Paragraph	Adherence to Guidance
A copy of the section 48 notice as it appeared in the local and national newspapers, together with a description of where the notice was published and confirmation of the time period given for responses should be included in the report.	See section 5 of Volume 5, Consultation Report, (application ref: 5.1) and Volume 5, Appendix E (application ref: 5.6) for details.
Applicants should provide confirmation that the section 48 notice was sent to the prescribed consultees at the same time as the notice was published.	Whilst the S42 letter notified consultees about the preliminary environmental information being available for review and provided information on how it could be accessed and gave a deadline for comments, the section 48 notice was not enclosed in communications to prescribed consultees. Please see section 6.8.1.1 of Volume 5, Consultation Report, (application ref: 5.1) for further information regarding this non-compliance.
A description of the consultation material used and how the prescribed consultees were able to access it would also be useful.	Full details of the consultation methods and materials used are described in section 5.4 of Volume 5, Consultation Report, (application ref: 5.1) and evidenced in Volume 5, Appendix D (application ref: 5.5).
Any consultation not carried out under the provisions of the Act should be clearly indicated and identified separately in the report from the statutory consultation.	Non-statutory Introductory Consultation has been clearly identified and is discussed in section 5 of Volume 5 , Consultation Report , (application ref: 5.1) with further information about this consultation provided in Volume 5 Appendix F (application ref: 5.7) .

Unrestricted 005028765



Paragraph	Adherence to Guidance
A list of the individual responses received should be provided and categorised in an appropriate way.	Consultation responses to the Statutory Consultation are summarised and discussed in sections 6.9 and 6.10 of Volume 5, Consultation Report, (application ref: 5.1). A list of individual S42 responses to the Statutory Consultation is provided in Volume 5, Appendix G1 (application ref: 5.8). Volume 5, Appendix G2 (application ref: 5.8) groups the feedback received from s47 consultees into themes.
Applicants should group responses under the three strands of consultation as follows: Section 42 prescribed consultees (including section 43 and section 44)	Consultation responses have been categorised and detailed in sections 6.9 and 6.10 of Volume 5, Consultation Report, (application ref: 5.1) and in Volume 5 Appendix G (application ref: 5.8).
Section 47 community consultees	
Section 48 responses to statutory publicity.	
Where a phased approach to consultation was undertaken then this can be reflected in the structure of the report and in the summary of responses. For example, it may be advisable to have a separate commentary and summary schedule of responses sheet for each phase of consultation carried out.	Details of the consultation approach are outlined in sections 1-7 of Volume 5, Consultation Report, (application ref: 5.1).

Unrestricted 005028765



Paragraph	Adherence to Guidance
If the level of response was significant it may be appropriate to group responses under headline issues.	Headline issues of the responses to the Statutory Consultation are outlined in Section 6.9 and 6.10 Volume 5, Consultation Report, (application ref: 5.1). Further information is provided in Volume 5, Appendix G (application ref: 5.8).
Applicants should ensure that the consultation report complies with the Data Protection Act 1998 (and GDPR) and that the addresses and other contact information of private individuals are treated appropriately within the context of this statutory process. Applicants should ensure that the consultation report has been fully redacted and is fit for public consumption before submitting it.	Contact details of private individuals have been fully redacted for the purposes of the Data Protection Act 1998 and the General Data Protection Regulation (GDPR).

RWE Renewables UK Dogger Bank South (West) Limited

RWE Renewables UK Dogger Bank South (East) Limited

Windmill Hill Business Park Whitehill Way Swindon Wiltshire, SN5 6PB